08-08-05

Attorney's Docket No.: 17111-007US1/2307US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

pplicant: James Keck et al.

Art Unit : 1635

rial No.: 09/601,997

Examiner: Janet L. Epps-Ford

: December 15, 2000

Cust. No. : 20985

onf. No. :

9428

NON-BACTERIAL CLONING IN DELIVERY AND EXPRESSION OF

**NUCLEIC ACIDS** 

Mail Stop Amendment

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

## TRANSMITTAL LETTER

Dear Sir:

Transmitted herewith are a Supplemental Information Disclosure Statement, Forms PTO-1449 (1 page), cited non U.S. patent references, and a check in the amount of \$180.00 for filing in connection with the above-identified application:

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The Commissioner is hereby authorized to charge any fees that may be due in connection with this paper or with this application during its entire pendency to Deposit Account No. 06-1050. A duplicate of this sheet is enclosed.

Respectfull submitted,

Stephanie Seidman Reg. No. 33,779

Attorney Docket No. 17111-007US1/2307US

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CERTIFICATE OF MAILING BY "EXPRESS MAIL"
"Express Mail" Mailing Label Number EV 399312530 US Date of Deposit August 5, 2005

I hereby certify that this paper is being deposited with the United States Postal Express Mail Post Office to Addressee" Service §1.10 on the date indicated above and is addressed sioner for Patents, U.S. Patent and Trademark Office, 450, Alexandria, VA, 22313-1450.

Stephanie Seidman

Attorney's Docket No.: 17111-007US1/2307US

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: James Keck et al. Art Unit : 1635

Serial No.: 09/601,997 Examiner: Janet L. Epps-Ford

Cust. No. : 20985 : December 15, 2000 Filed

Conf. No.: 5984

: NON-BACTERIAL CLONING IN DELIVERY AND EXPRESSION OF Title

**NUCLEIC ACIDS** 

Mail Stop Amendment

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT IN ACCORDANCE WITH 37 C.F.R. §§ 1.97-1.98

Because this Supplemental Information Disclosure Statement is filed after the receipt of a First Office Action on the Merits for the above-captioned application, a check for the filing fee of \$180.00 is enclosed. If no proper payment is enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal, or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1050.

In accordance with the duty of disclosure imposed by 37 C.F.R. §1.56 to inform the Patent Office of all references known by Applicant or Applicant's representative that may be material to the examination of the subject application, Applicant's representative hereby provides this Supplemental Information Disclosure Statement that is prepared in accordance with 37 C.F.R. §§1.97-1.98. Forms PTO-1449 (1 page) and copies of the cited non U.S. Patent documents are provided herewith.

The documents cited on the Forms PTO-1449 are in the English language. Hence, in accordance with the requirements of 37 C.F.R. §1.98, as amended effective March 16, 1992, no further explanation of the listed items is necessary.

08/10/2005 CNGUYEN2 00000023 09601997

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I hereby certify that this paper is being deposited with the United States Postal "Express Mail Post Office to Addressee" Service under 37 CFR 11.10 on the date indicated above and is addressed to: Commissioner for Patents, U.S. Patent and Trademark Office, Alexandria, VA, 22313-1450. P.O. Box 14

Stephanie L. Seidman

Applicant: James Keck et al. Attorney's Docket No.: 17111-007US1/2307US

Serial No.: 09/601,997

Filed: December 15, 2000

Page : 2 of 2

Although these documents are made known to the Patent and Trademark Office in compliance with Applicant's duty of disclosure, such disclosure is not to be construed as an admission by Applicant or Applicant's representative that any of the references, singly or in any combination thereof, is effective as prior art against the subject application. In accordance with 37 C.F.R. §1.97(h), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. §1.56(b) exists.

Applicant respectfully requests that the Examiner review the foregoing references and they be made of record in the file history of the above-captioned application.

Respectfully submitted,

Stephanie Seidman

Attorney Docket No. 17111-007US1/2307US

Address all correspondence to:

Stephanie L. Seidman Fish & Richardson P.C. 12390 El Camino Real

San Diego, California 92130 Telephone: (858) 678-5070 Facsimile: (202) 626-7796 email: seidman@fr.com Substitute Form PTO-1449 Application No. Attorney's Docket No. Department of Commerce Patent and Trademark Office (Modified) 17111-007US1/2307US 09/601,997 **Applicant** List of Patents and Publications for Applicant's James Keck et al. **Information Disclosure Statement** Filing Date Group Art Unit December 15, 2000 1635 (37 CFR §1.98(b)) **U.S. Patent Documents** Examiner Desig. Document Publication Filing Date Initial Subclass If Appropriate ID Number Date Patentee Class 2003/0087288 05/08/03 Keck et al. 435 6 09/16/02 Α. В. 5,593,884 01/14/97 Karin et al. 435 252.3 07/18/94 09/10/02 09/29/00 C. 6,448,009 435 6 Thompson 6 08/09/00 D. 6,803,194 10/12/04 Keck et al. 435

Foreign Patent Documents or Published Foreign Patent Applications									
Examiner	Desig.	Document	Publication	Country or			Translation		
Initial	ID	Number	Date	Patent Office	Class	Subclass	Yes	2	
	E.	99/32618	07/01/99	PCT					
	F.	99/41371	08/19/99	PCT					

Other Documents (include Author, Title, Date, and Place of Publication)							
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	G.	James, H.A. and I. Gibson, "The Therapeutic Potential of Ribozymes," Blood 91(2): 371-382 (1998)					
	Н.	Lieber et al., "Elimination of Hepatitis C Virus RNA in Infected Human Hepatocytes by Adenovirus-Mediated Expression of Ribozymes," Journal of Virology 70(12): 8782-8791 (1996)					

Examiner Signature Date Considered

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.